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A Highly Charged Ag₆⁴⁺ Core in a DNA-Encapsulated Silver Nanocluster

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Dedicated to Dr. Klaus Römer on the occasion of his 70th birthday

Small metal clusters fill the gap between the atomic scale and the metallic state with its distinctive bulk phenomena.^[1] Besides being of high fundamental interest, this intermediate character of metal clusters also gives rise to unique and potentially useful electronic, magnetic, and optical properties.^[2] To bring these properties to real-world applications, however, the clusters must be stabilized and prevented from spontaneous aggregation and other decomposition reactions. One successful strategy relies on the immobilization of metal clusters on surfaces. [3] Another approach stabilizes the clusters by the attachment of protective ligands.^[4] Quite often the same ligands can also serve as templates for the formation of the metal clusters.^[4] This favorable situation is realized for a manifold of silver nanoclusters, which are synthesized by the reduction of silver salts in the presence of templates, such as poly(amidoamine) dendrimers, [5] poly-(acrylic acid) derivatives, [6] poly(methacrylic acid), [7] peptides, [8] or DNA. [9] The thus formed nanoclusters contain only a few Ag atoms and hence exhibit molecule-like properties, [10] in contrast to the conventional larger nanoparticles, which more closely resemble the metallic state.[11] In particular, the strong fluorescence of silver nanoclusters has attracted significant attention because of its potential practical applications.^[5-10] Among the various species investigated so far, silver nanoclusters encapsulated by the single-stranded oligonucleotide dC₁₂ arguably constitute the most promising system thanks to their very high photoemission rates and their excellent photostability.^[12]

The recent progress in the synthesis of stabilized silver nanoclusters has been much faster than developing an adequate theoretical understanding of their chemical, electronic, and spectral properties. Any advances in these directions

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are severely hampered by the fact that the sizes of the Ag, cluster cores are currently not known precisely and that their charge states remain completely undetermined. Without knowledge of both these quantities and, thus, the number of electrons present in the cluster cores, obviously no meaningful theoretical analysis of the chemical or spectral properties of these species is possible. For the dC₁₂-encapsulated silver nanoclusters, mass-spectrometric studies have suggested rather broad distributions of Ag, cluster sizes, with n ranging from 2 to $7^{[13]}$ The presence of multiple clusters was also inferred from the rather complex optical spectra recorded. The UV/Vis absorption spectrum of an aqueous solution of the in situ formed dC₁₂-stabilized silver species shows a narrow maximum of $\lambda_{max} = 440 \text{ nm}$ and smaller peaks at 350, 570, and 650 nm. [13] These bands are all considered indicative of genuine nanoclusters because they are absent from the absorption spectrum of silver nanoparticles, which only displays one broad peak at λ_{max} = 405 nm. [13] However, it is unknown to which cluster sizes the different absorption bands correspond. The emission spectra of the nanoclusters are complex as well and moreover change with time, in contrast to the absorption spectrum.^[13] This time evolution was rationalized by the occurrence of oxidation reactions.[13] Furthermore, a combination of gelelectrophoretic separation and mass spectrometry provided evidence that just clusters with n=2 and/or 3 are the actual fluorescent chromophores.[12] Again, the charge states of these nanoclusters were not addressed.[12]

Clearly, the simultaneous presence of different dC_{12} -encapsulated silver nanoclusters complicates the interpretation of the experimental results, especially in the case of the optical spectra. We found that changing the pH value can substantially simplify the system and take advantage of this situation to characterize the nanoclusters by a combination of UV/Vis absorption spectroscopy and anion-mode electrospray-ionization (ESI) mass spectrometry. In particular, we show that mass spectrometry can be used to determine the charge states of the clusters with high confidence and report the observation of a remarkably high positive charge of an Ag_6 cluster core.



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We synthesized the nanoclusters by adding sodium borohydride to an aqueous solution of silver nitrate and the dC_{12} oligonucleotide under air, [14] as described in the literature. [13] The formation of the nanoclusters during the reduction step was evident from the appearance of a yellow color. The UV/Vis absorption spectrum [15] of the solution showed bands at 330, 445, 550, and 645 nm (Figure 1), which indeed closely agree with those reported for dC_{12} -encapsulated Ag_n nanoclusters in the literature (see above). [13]

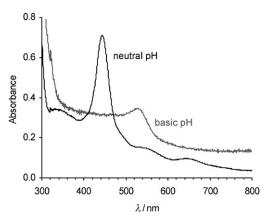


Figure 1. UV/Vis absorption spectra of an aqueous solution of AgNO₃/ $dC_{12}/NaBH_4$ (ratio 10:1:10, $c(AgNO_3)=200~\mu M$) without (black) and with (gray, scaled by a factor of 5) the addition of a mixture of piperidine/imidazole in CH₃CN (c(piperidine)=c(imidazole)=25~m M relative to the overall solution).

The thus produced solutions were then further analyzed by ESI mass spectrometry. We decided to probe the nanoclusters in the anion mode for the following reasons: i) At neutral and basic pH values, DNA forms polyanions. This anionic character presumably is essential for the suitability of DNA as a template that can bind to Ag+ ions and it might also be important for stabilizing possibly formed cationic Ag, nanoclusters. Anion-mode ESI preserves the polyanionic character of the dC₁₂ oligonucleotide, whereas cation-mode ESI leads to unnatural protonation states, which might destabilize the Ag_n nanoclusters. ii) The ESI process inherently involves redox reactions.[16] Usually these redox reactions do not affect the ions probed because just ions of the opposite polarity are reduced or oxidized, respectively, at the ESI capillary. However, for redox-sensitive analytes the situation might be different. Thus, it seems possible that cation-mode ESI could oxidize neutral Ag_n nanoclusters, leading to the artifactual detection of cationic nanoclusters. Anion-mode ESI is much less likely to change the oxidation state of neutral Ag_n nanoclusters although it could in turn result in the reduction of cationic Ag, species. In this case, however, the occurrence of such artifactual reductions should be noticeable by probing simple AgNO₃/dC₁₂ solutions (without added NaBH₄), which form a clear-cut reference of Ag^I.

To facilitate desolvation during the ESI process and achieve good and stable anion signal intensities, the aqueous

solutions of the dC_{12} -encapsulated Ag_n nanoclusters were mixed in a ratio of 1:1 with acetonitrile. The addition of CH_3CN did not change the UV/Vis absorption spectrum (see Figure S1 in the Supporting Information) and thus supposedly does not affect the Ag_n nanoclusters. The anion-mode ESI mass spectra obtained for solutions of the nanoclusters showed a multitude of peaks with equal spacings between neighboring m/z values (see Figure S2 in the Supporting Information), which point to extensive adduct formation but do not permit a straightforward assignment. The propensity of DNA polyanions to form adducts with cations is well known^[17] and quite expected in the present experiments, which sampled solutions with an excess of Na⁺ and Ag^+ salts relative to the dC_{12} oligonucleotide.

An established method to improve anion-mode ESI signal intensities of oligonucleotides and obtain cleaner ESI mass spectra employs basic additives.^[18] We therefore mixed the aqueous solution of the dC12-encapsulated nanoclusters with a solution of piperidine/imidazole (c(piperidine)=c(imidazole)=50 mm) in CH₃CN in a ratio of 1:1, thus shifting the pH value to approximately 12. We noted that upon mixing, the yellow color disappeared to give way to a very faint reddish color. In line with this finding, the UV/Vis absorption spectrum of the basic solution only exhibited a single maximum at 530 nm (Figure 1), which possibly corresponds to the slightly shifted band observed at 550 nm for the neutral solution. The disappearance of the other peaks suggests that the corresponding nanoclusters are no longer stable at high pH values. Presumably, the deprotonation of further sites in the DNA strand and the resulting buildup of negative charge lead to the unfolding of the dC₁₂ oligonucleotides, which thus can no longer accommodate the Ag, cluster cores. In contrast, the persistence of the nanocluster giving rise to the absorption at 530 nm might indicate its particular stability that prevents its decomposition.

We then analyzed the basic solutions of the nanoclusters by anion-mode ESI mass spectrometry. [19] The resulting spectra are no longer congested with excessive adduct peaks but clearly show fourfold deprotonated dC_{12} as the anion with highest signal intensity (Figure 2, top). In addition, tetraanionic complexes of dC_{12} and n=3-8 Ag atoms are discernible, of which the dC_{12} -Ag₆ species is distinguished by its significantly enhanced intensity. We compared this spectrum with that obtained for a solution of AgNO₃ and dC_{12} (containing imidazole/piperidine in CH₃CN) without the addition of NaBH₄. Without the reduction step, the mass spectrum again indicates the presence of adducts dC_{12} -Ag_n, n=3-8. However, the maximum of the unimodal intensity distribution now lies at n=5 and no anomalously high signal intensity for n=6 is observed (Figure 2, bottom).

We briefly investigated how the intensity distribution of the $dC_{12}\cdot Ag_n$ adducts detected for the solutions of the nanoclusters depended on the $AgNO_3/dC_{12}$ ratio. Both for a ratio of 6:1 and 20:1, we also found enhanced intensities for $dC_{12}\cdot Ag_6$ after reduction, although this feature was less consistent for the lower ratio. We interpret this preferential formation of $dC_{12}\cdot Ag_6$ as an indication of a particular stability

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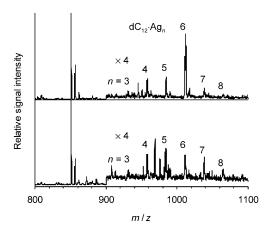


Figure 2. Anion-mode ESI mass spectra of a solution of $AgNO_3/dC_{12}$ (top) after and (bottom) before the addition of $NaBH_4$ (ratio $AgNO_3/dC_{12}/NaBH_4$: 10:1:10, $c(AgNO_3)=30~\mu M$, solvent: water/CH₃CN 1:1 containing piperidine/imidazole, c(piperidine)=c(imidazole)=25~m M). The base peaks at m/z 851 correspond to the fourfold deprotonated oligonucleotide dC_{12}^{4-} , the neighboring peaks at m/z 856.5 and 862 to Na^+ adducts. At higher m/z ratios, silver adducts $dC_{12}\cdot Ag_n$ are visible. Note that the signal intensities in the range $900 \le m/z \le 1100$ are scaled by a factor of 4.

of a nanocluster with a core of six Ag atoms. Moreover, we correlate this dC_{12} ·Ag₆ nanocluster with the species that displayed the single absorption band at 530 nm in the UV/Vis spectrum of the nanocluster solution at pH ≈ 12 .

Next, we analyzed the charge states of the silver atoms in the $dC_{12} \cdot Ag_n$ adducts on the basis of their overall charge and their exact elemental composition. In doing so, we assumed that the dC₁₂ oligonucleotide itself does not undergo any redox reactions (see below) but is affected in its charge exclusively by protonation/deprotonation and H+/Ag+ exchange reactions. For example, if the addition of one Ag atom to the dC₁₂ oligonucleotide is accompanied by the loss of one H atom without a change in the overall charge state, this process corresponds to an H⁺/Ag⁺ exchange, implying the presence of Ag+. In contrast, if the number of H atoms remains constant, the presence of Ag⁰ follows necessarily. Of course, the main challenge of this approach is to measure the m/z ratios of the $dC_{12} \cdot Ag_n$ adducts with sufficiently high accuracy and resolution such that their elemental compositions can be derived with high confidence.

For our experiments, we used an HCT ion trap instrument (Bruker Daltonik). [19] This quadrupole ion trap does not achieve the high mass resolving power of Fourier-transform ion-cyclotron resonance, Orbitrap, sector-field, or modern time-of-flight mass spectrometers. Nevertheless, it succeeded in partially resolving the isotope pattern of fourfold deprotonated dC_{12} (see Figure S3 in the Supporting Information). The exact identity of this peak was confirmed by high-resolution mass spectrometry (LTQ FT-ICR, Thermo Finnigan), which also proves the absence of artifactual redox reactions of the DNA ligand during the ESI process. We compared the measured isotope pattern with the theoretically predicted one (see Figure S3 in the Supporting Information). The simulation is based on the calculated isotope pattern for

 $C_{108}H_{141}N_{36}O_{70}P_{11}^{\ 4-}$ and takes into account the exact masses of the isotopes (accuracy of 0.01 amu for the mass of neutral dC_{12} with its elemental composition of $C_{108}H_{145}N_{36}O_{70}P_{11}$. [20] Each peak of the calculated isotope pattern then is convoluted with a Gaussian function of the same width and scaled to match the measured intensity distribution. Apart from the width of the Gaussian function, the scaling factor, and an offset to account for the background intensity, no other fitting parameters are used. In particular, the simulated isotope pattern is not shifted laterally to match the measured intensity distribution. The good agreement observed (see Figure S3 in the Supporting Information) proves that the HCT ion trap is well-suited for determining the elemental composition of heavy, polycharged species. In all further cases, we used the peak of the fourfold deprotonated dC₁? anion as an internal reference to check the calibration of the instrument. Only mass spectra with an agreement between measured and predicted isotope pattern comparable to that of Figure S3 in the Supporting Information were considered for the analysis of the $dC_{12} \cdot Ag_n$ peaks.^[21]

We then analyzed the elemental compositions of fourfold negatively charged $dC_{12} \cdot Ag_n$ adducts, n = 4-6, formed without addition of NaBH₄. These species should contain only Ag^+ unless the ESI process led to artifactual reduction reactions (see above). The comparison of the measured spectra with the simulated isotope patterns for simple $(Ag^+)_n$ adducts showed a reasonably good agreement in all three cases (see Figure S4 and S5 in the Supporting Information for n = 4 and 5, respectively, and Figure 3 for n = 6; for n = 5, the agreement is somewhat impaired by the rather low signal intensity and the relatively poor signal/noise ratio). This consistency excludes the occurrence of interfering redox reactions during the ESI process and lends further support to the validity of our approach.

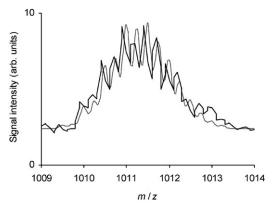


Figure 3. Section from the anion-mode ESI mass spectrum of a solution of $AgNO_3/dC_{12}$ (black) together with the simulated isotope pattern for $C_{108}H_{135}Ag_6N_{36}O_{70}P_{11}^{4-}$ corresponding to $dC_{12}^{10-}\cdot(Ag^+)_6$ (gray).

We then turned to the silver adducts formed *after* the addition of NaBH₄. For the tetraanions of dC_{12} ·Ag_n, n=4 and 5, we found elemental compositions indicative of simple $(Ag^+)_n$ adducts (see Figure S6 and S7 in the Supporting In-

formation). These species thus do not correspond to nanoclusters. In contrast, the measured isotope pattern of the fourfold negatively charged $dC_{12}\cdot Ag_6$ adduct is reproduced only very poorly by the simulation if a $dC_{12}^{-10-}\cdot (Ag^+)_6$ species is assumed (see Figure S8 in the Supporting Information). The measured spectrum is shifted toward heavier masses, implying that it contains a higher number of protons than taken into account in the simulation. This means that the $dC_{12}\cdot Ag_6$ adduct contains Ag atoms in reduced oxidation states and thus corresponds to a genuine nanocluster.

We then compared the measured spectrum with the simulated isotope patterns of $dC_{12}^{9-}\cdot Ag_6^{5+}$, $dC_{12}^{8-}\cdot Ag_6^{4+}$, and dC₁₂⁷-·Ag₆³⁺ (see Figures S9–S11, respectively, in the Supporting Information) and found best agreement for dC₁₂⁸-Ag₆⁴⁺ (see Figure S10). A careful inspection reveals, however, that the measured isotope pattern is shifted very slightly toward lower masses relative to the simulation. This small deviation might hardly be considered significant were it not the case that we found it consistently in several independent experiments. Moreover, the deviation was more pronounced for those experiments, in which the relative enhancement of dC₁₂⁸-·Ag₆⁴⁺ compared to the simple (Ag⁺)_n adducts was somewhat smaller than usually. We thus reasoned that the deviation might result from the presence of a small amount of unreduced $dC_{12}^{10-}(Ag^+)_6$ besides the dC_{12}^{8-} Ag₆⁴⁺ nanocluster. We approximated the relative abundance of the former by linearly interpolating the signal intensities of $dC_{12}^{9-}\cdot (Ag^+)_5$ and $dC_{12}^{11-}\cdot (Ag^+)_7$. Thereby, we arrived at a ratio of approximately 4:1 between the $dC_{12}^{8} \cdot Ag_6^{4+}$ nanocluster and the unreduced $dC_{12}^{10-} \cdot (Ag^+)_6$ adduct for the specific measurement shown. A simulation taking into account this ratio indeed reproduced the observed isotope pattern almost perfectly (Figure 4).

We also investigated the $dC_{12}\cdot Ag_n$ adducts with a total charge of -5. These experiments were performed with a lower mass resolving power (ultrascan mode) to maximize the signal intensity. Again, we observed the preferential formation of a $dC_{12}\cdot Ag_6$ species (see Figure S12 in the Support-

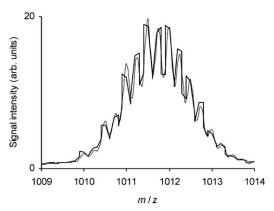


Figure 4. Section from the anion-mode ESI mass spectrum of a solution of $AgNO_3/dC_{12}/NaBH_4$ (black) together with the simulated isotope pattern for a 4:1 mixture of $C_{108}H_{137}Ag_6N_{36}O_{70}P_{11}^{\ 4-}$, corresponding to the nanocluster $dC_{12}^{\ 8-}\cdot Ag_6^{\ 4+}$, and $C_{108}H_{135}Ag_6N_{36}O_{70}P_{11}^{\ 4-}$, corresponding to the unreduced adduct $dC_{12}^{\ 10-}\cdot (Ag^+)_6$ (gray).

ing Information). We compared the measured intensity distribution for the pentaanion of $dC_{12}\cdot Ag_6$ with the envelopes of the simulated isotope patterns of $dC_{12}^{11-}\cdot (Ag^+)_6$, $dC_{12}^{10-}\cdot Ag_6^{5+}$, $dC_{12}^{9-}\cdot Ag_6^{4+}$, and $dC_{12}^{8-}\cdot Ag_6^{3+}$ (see Figure S13–S16, respectively, in the Supporting Information). The best agreement is found for $dC_{12}^{9-}\cdot Ag_6^{4+}$ (Figure S15), which is even further improved if the presence of a small amount of unreduced $dC_{12}^{11-}\cdot (Ag^+)_6$ is accounted for (Figure S17).

Our results thus consistently point to the preferential formation of nanoclusters that comprise an Ag₆⁴⁺ core at pH \approx 12. These dC₁₂·Ag₆⁴⁺ nanoclusters presumably do not correspond to the actual fluorescent chromophores, which most likely contain Ag₂ and/or Ag₃ cluster cores.^[12] It might seem surprising that the dC₁₂·Ag₆⁴⁺ nanoclusters with their high positive charge are stable toward Coulomb explosion. However, one must not forget that the cluster core is stabilized by the polyanionic DNA ligand, which is supposed to provide significant amounts of negative charge density and balance the high positive charge of the Ag₆⁴⁺ core in this way (the dC₁₂ oligonucleotide has been shown to bind to Ag_n cluster cores via its N3 atoms).[13] The resulting strong interaction between the dC₁₂ ligand and the cluster core also explains the stability of this nanocluster at pH \approx 12, whereas clusters with Ag, cores of lower positive charge densities apparently cannot prevent the multiply deprotonated and highly negatively charged dC₁₂ oligonucleotide from unfolding. The UV/Vis absorption spectra furthermore possibly indicate that the dC₁₂·Ag₆⁴⁺ nanocluster does not only form under basic conditions but that it already exists at neutral pH, then giving rise to a slightly shifted absorption at λ_{max} = 550 nm. Such a shift in λ_{max} might result from partial protonation of the polyanionic DNA ligand at neutral pH.

Interestingly, an Ag₆⁴⁺ cluster was previously suggested in a different context, namely as a product of a spontaneous reduction of Ag-exchanged type-A zeolites upon dehydration. [22] Based on X-ray diffraction and reflectance spectroscopy data, Gellens et al. proposed the formation of Ag₃²⁺ at mild conditions, for which they observed a yellow color.[22] Upon evacuation at 300 °C, the color changed to red, which was rationalized by the interaction of two Ag₃²⁺ species to yield Ag₆⁴⁺. [22] The correlation of the red color with the Ag₆⁴⁺ motif strikingly resembles our present results, which associate the absorption band at 530 nm with the $dC_{12} \cdot Ag_6^{4+}$ nanocluster. Note, however, that the formation of silver nanoclusters in zeolites by spontaneous reduction has been called into question^[23] such that there seems to be no previous undisputed evidence for the observation of Ag₆⁴⁺ clusters. Notwithstanding, the existence of closely related species formed by radiolytic reductions, such as Ag₃²⁺ and even Ag₄³⁺, has been established^[24] and provides the context in which the stability of the DNA-encapsulated Ag₆⁴⁺ nanocluster is to be seen.

While our experiments show that dC_{12} -stabilized $Ag_6^{\ 4+}$ is formed after the addition of NaBH₄, it remains open whether this species results directly from the reduction of DNA-bound Ag^+ or whether it originates from the oxidation of

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previously formed transient nanoclusters in lower oxidation states. Ritchie et al. put forward evidence for the involvement of such intermediate nanoclusters, which are believed to be subsequently oxidized by air. [13] We attempted to identify such putative species in experiments performed under exclusion of air (for the nanocluster synthesis as well as for the ESI mass-spectrometric measurements). However, we found only very low yields of $dC_{12} \cdot Ag_n$ adducts and the analysis of the elemental composition for the tetraanion of $dC_{12} \cdot Ag_6$ did not reveal a lower oxidation state than that observed in the experiments under aerobic conditions (see Figure S18 in the Supporting Information).

Finally, we briefly investigated how varying the length of the oligonucleotide template affected the formation of DNA-stabilized Ag, nanoclusters. Using dC₆ as template, we observed a rather broad band in the UV/Vis spectrum of the AgNO₃/dC₆/NaBH₄ solution at neutral pH (λ_{max} = 440 nm, see Figure S19 in the Supporting Information) and could not detect any dC₆·Ag_n adducts by anion-mode ESI mass spectrometry of the solution at pH \approx 12. In contrast, a solution of AgNO₃/dC₁₈/NaBH₄ displayed a narrow band at λ_{max} = 440 nm under neutral conditions and thus clearly indicated the formation of dC₁₈·Ag_n nanoclusters (see Figure S20 in the Supporting Information). ESI mass-spectrometric analysis of the solution at pH \approx 12 showed the presence of dC₁₈·Ag_n adducts. For the determination of the charge states of the Ag atoms, we focused on the pentaanions. The simulated isotope patterns for dC_{18}^{5-} and dC_{18}^{11-} . (Ag⁺)₆ (see Figure S21 and S22, respectively, in the Supporting Information) match the measured intensity distributions of the bare oligonucleotide and the Ag₆ adduct (formed without addition of NaBH₄) reasonably well. This agreement again demonstrates the accurate calibration of the mass spectrometer and the absence of artifactual redox reactions during the ESI process. The measured isotope distribution of the species formed after the addition of NaBH₄ can be satisfactorily fitted if we assume the presence of $dC_{18}^{9} \cdot Ag_6^{4+}$ along with some residual unreduced dC_{18}^{11-} (Ag⁺)₆ (see Figure S23 in the Supporting Information). Hence, the formation of the Ag₆⁴⁺ cluster core is apparently not restricted to the use of the dC₁₂ oligonucleotide as template although a minimum length of the DNA single strand seems to be essential.

In conclusion, we have shown that the reduction of aqueous $AgNO_3$ in the presence of the oligonucleotide dC_{12} affords nanoclusters with an Ag_6^{4+} core at high pH values. Small silver clusters with comparably high cationic charges have been observed before but not in the context of DNA encapsulation or most other typical template-assisted syntheses. Our findings suggest that such highly charged silver clusters may be more common than thought previously and that the possibility of their presence should be considered for the interpretation of UV/Vis-spectral features. For the $dC_{12} \cdot Ag_6^{4+}$ species detected in the present work, the UV/Vis absorption spectrum shows an absorption band of 530 nm (at basic pH). Moreover, we have demonstrated that mass spectrometry can be used to derive the charge states of the

metallic cores of encapsulated nanoclusters by the determination of their exact elemental compositions. The experimental derivation of these charge states is the prerequisite for a thorough theoretical understanding of the chemical and spectral properties of metal nanoclusters.

Acknowledgements

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Keywords: cluster compounds • DNA • mass spectrometry • nanoclusters • silver • UV/Vis spectroscopy

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- [20] Isotope patterns based on the natural abundances of the elements can be conveniently calculated by web-based resources, such as: http://yanjunhua.tripod.com/pattern.htm.
- [21] In some instances, a less satisfactory agreement was observed, the peaks being broader than expected. Presumably, in these cases too

- many ions were filled into the trap and gave rise to space charges and/or the voltage of the detector was not properly adjusted.
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